

FIG. 1

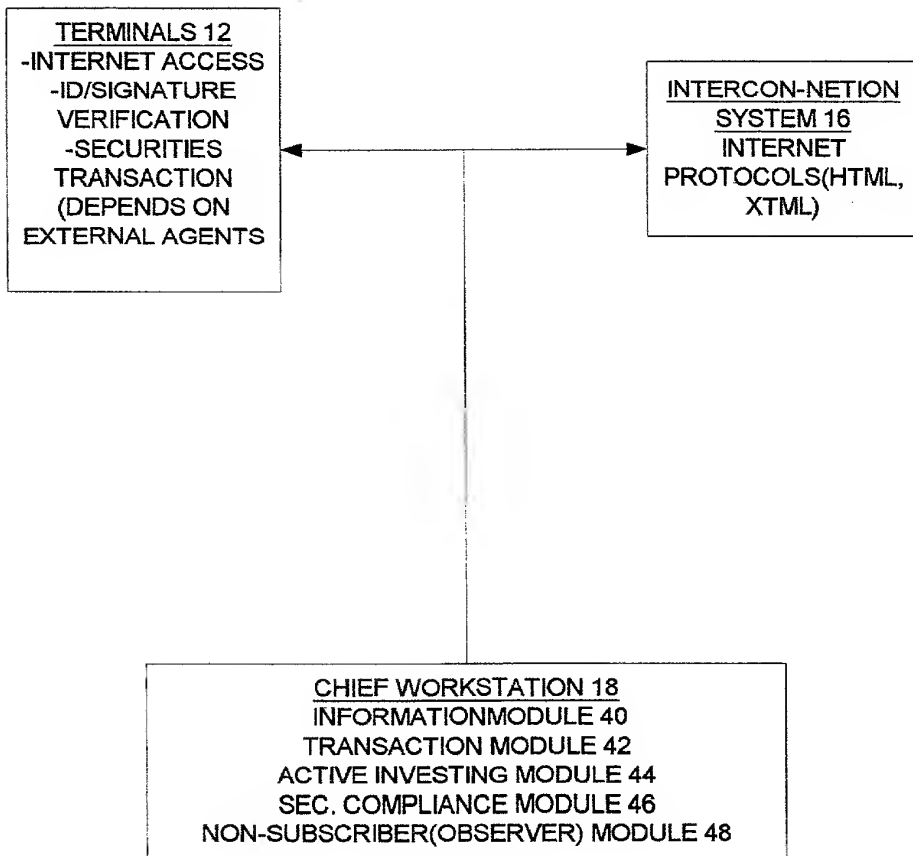
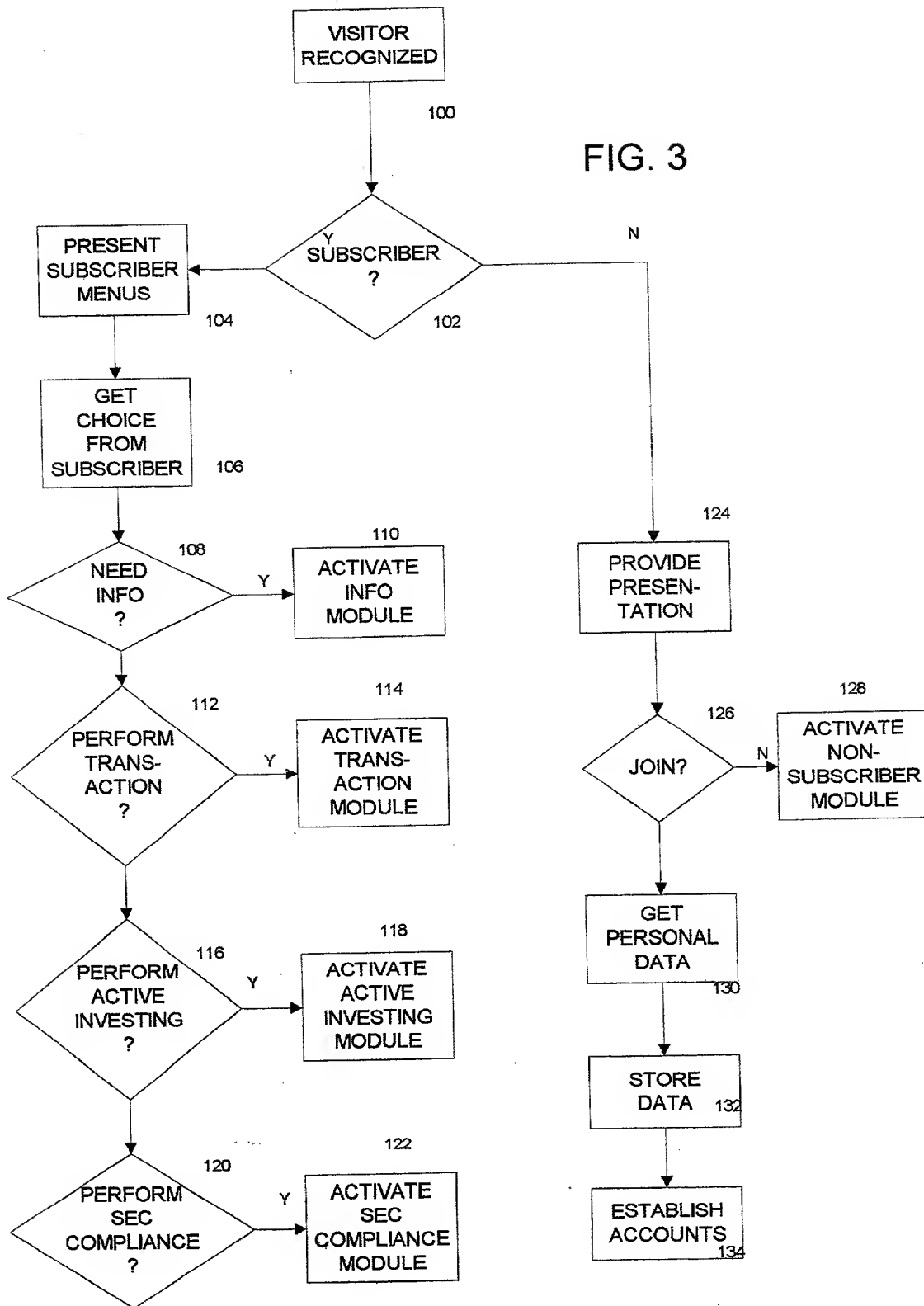


FIG. 2



FIG. 3



Parent Process		Sub-process			Agent	Entity	Attribute
P #	Process						
2.2.6	Process	Enable directory preferences			External Agent2	Vendor2	
2.2.7		Enable credit card billing & renewal					
2.2.8		Activate Subscriber					
2.2.9		Enable Subscriber access					
2.3.0	Perform Account enrollment	Perform Account enrollment			Transaction Bridge	Account Broker-dealer Clearing broker1	Data Set3
2.3.1		Gather data					
2.3.2		Confirm Entity & Attribute selections					
2.3.3		Review & confirm Account suitability					
2.3.4		Establish subscription disclosure & TOS			Vendor3		Disclosure listing Investment discretion Proxy discretion
2.3.5		Identification & Signature verification					
2.3.6		Authorize Name & Password					
2.3.7		Enable Account preferences					
2.3.8		Enable customer service access			Observer		Data Set4
2.3.9		Fund & activate Account					
2.3.91		Enable Account access					
2.4.0		Perform Observer enrollment					
2.4.1		Gather data					
2.4.2		Review & confirm Entity & Attribute selections					
2.4.3		Review & confirm Observer suitability					
2.4.4		Establish Observer disclosure & TOS					
2.4.5		Identification & Signature verification					
2.4.6		Authorize Name & Password					
2.4.7		Enable Observer preferences					

FIG. 4B

Parent Process	Process	Sub-process	Agent	Entity	Attribute
P #					
2.4.8		Activate Observer			
2.4.9		Enable Observer access			
3.0.0	Perform Active Investing				
3.1.0		Access Active Investing module		Portfolio management system	
3.1.1		Access & exchange information			
3.1.2		Access customer service			
3.2.0		Access Transaction module		Reg. 17A trading system	
3.2.1		Establish broker-dealer link		Trading broker	
3.2.2		Display Account information		Clearing broker	
3.3.0		Apply Active Investing Analytics		Model portfolio	
3.4.0		Execute transactions		Index portfolio	
3.4.1		Assess fees			
3.4.2		Modify Account information & preferences			
3.4.3		Terminate & withdraw Accounts			
3.4.4		Access customer service			
3.5.0		Access Compliance module			
3.5.1		Gather Account data		NASD Standards	
3.5.1.1		Evaluate data completeness and integrity		Federal Securities Laws	
3.5.2		Analyze and report Account suitability		Forms; SEC	
3.5.2.1		Display Account information, history & preferences		Forms; Other	
3.5.2.2		Calculate and display Account eligibility		Transaction records	
3.5.2.3		Review and designate Account		Proxy (14-a) templates	
3.5.2.4		Enable Active Investing Account		Concert (13-d) templates	

FIG. 4C

FIGURE 5A

ActiveInvesting.com

Active Investing Archive

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>source</u>
1/3/02			David Lang, Chief
1/3/02	XYZ	betaTarget	Warrior1
5/14/01	xyz	betaTarget	Warrior1
3/14/01	xyz	betaTarget	Warrior1
1/3/02	xyz	betaTarget	Warrior1
			Warrior1
			Warrior1
			David Lang, Chief
			David Lang, Chief
			David Lang, Chief
			David Lang, Chief

10/7/01
10/7/01

<u>Moderator note</u>
Please read!
First Notice
Electronics don't connect
dismal reading
Strategic investment opportunity
The Field
Overview
The Club
The Rules
The History
The Site for Active Investing

<u>file type</u>
MS.net
MS.net
MS.net
MS.net
MS.net
MS.net
MS.net
MS.net
MS.net
MS.net

<u># of hits & posts</u>
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1
x1,y1

Archive Search

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>source</u>	<u>topic</u>	<u>moderator note</u>	<u>file type</u>
	<u>lookup</u>	<u>lookup</u>	<u>key word</u>	<u>key word</u>	<u>key word</u>	<u>menu</u>

MyAccount

Contact HFW

Search

ActiveInvesting.com Communication Command

FIGURE 5B

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>moderator</u>	<u>topic</u>
1/3/02			Warrior2	Risk Advisory
4/15/01	adp	Automated Data Processing	ADP	ADP Investor Relations
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign governance
4/15/01	abcd	ABCD Corp	Warrior1	Beta campaign agenda
1/3/02	abcd	ABCD Corp web site	abcd	Beta campaign target
			Sachem1	Active Investing today
			David	Network Subscriber directory
			Lang, Chief	Network Terms of Service
			David	
			Lang, Chief	
10/7/01			David	About Warrior1@HedgeFund
			Lang, Chief	Warrior.Com
10/7/01			David	About HedgeFundWarrior.com
			Lang, Chief	

Command Search

<u>date</u>	<u>ticker</u>	<u>company</u>	<u>moderator</u>	<u>topic</u>	<u>moderator note</u>	<u>file type</u>
	lookup	lookup	key word	key word	key word	menu

MyAccount

Search

Contact HFV

<u>moderator note</u>	<u>file type</u>	<u># of hits & posts</u>
Please listen to Stonewall Jackson! useful web site	audio	x1,y1
securities attorney needed	link	x1,y1
proxy contest contemplated pitiful content	MS.net	x1,y1
wassup The Club	MS.net	x1,y1
The Rules	MS.net	x1,y1
The Hlstory	MS.net	x1,y1
The Site for Active Investing	MS.net	x1,y1

